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Professor Xia Zhongyuan, Ph.D., chief physician, doctoral supervisor, is currently the director of the Department of Anesthesiology at Renmin Hospital of Wuhan University. He is a leading medical figure in Hubei Province, the eighth chairman of the Anesthesiology Branch of the Hubei Medical Association, the tenth chairman of the Anesthesiology Branch of the Wuhan Medical Association, the chairman-designate of Chairman-designate of the Hubei Medical Association, and the vice chairman of the Anesthesiology Branch of the Clinical Medicine Teaching Steering Committee of the Ministry of Education. He is a member of the Standing Committee of the Anesthesiology Branch of the Chinese Medical Association, a member of the Standing Committee of the Chinese Society of Cardiothoracic and Vascular Anesthesiology, a member of the Committee of the Anesthesiology Branch of the Chinese Medical Association, a deputy leader of the Cardiothoracic Group, and a deputy member of the Anesthesiology Committee of the China Association for Medical Education. He is mainly engaged in the research of perioperative vital organ protection and the mechanism and prevention of diabetic myocardial ischemia/reperfusion injury. He has presided 6 items of National Natural Science Foundation of China, 1 item of Hubei Provincial Key Research and Development, and 1 item of sub-projects of Major National Science and Technology Projects. He has published more than 300 papers (more than 80 SCI papers), as the first author and corresponding author, 10 monographs, and 10 patents. He has been awarded the second prize of Hubei Provincial Scientific and Technological Development Prize, and second prize of Scientific and Technological Achievement Promotion Award.

## **Research progress on denervation in cardiovascular diseases**

XU Heng, LI Wenyuan, XIA Zhongyuan

Department of Anesthesiology, Renmin Hospital of Wuhan University, Wuhan, Hubei 430060, China

Corresponding author: XIA Zhongyuan, E-mail: xiazhongyuan2005@aliyun.com

**Abstract:** Denervation is closely related to many cardiovascular diseases, such as myocardial infarction, myocardial ischemiareperfusion injury, hypertension, arrhythmia, heart failure, atherosclerosis and ventricular remodeling. Denervation affects the patient's resting heart rate and response to exercise in the early stages of cardiac transplantation. Therefore, this article reviews the research progress of denervation in the treatment of cardiovascular disease and elaborates on the mechanism of denervation in the treatment of cardiovascular disease, intending to provide new complementary treatment methods for the treatment of cardiovascular diseases.

**Keywords:** Denervation; Cardiovascular diseases; Renal denervation; Cardiac transplantation; Hypertension **Fund program:** Program of National Natural Science Foundation of China (82172160, 82100894)

Cardiovascular disease (CVD) is a significant contributor to global death and disability and a major cause of the world's disease burden. Data show that the burden of CVD has increased in most countries for decades [1]. Prevention and treatment of CVD mainly consist of pharmacologic and surgical therapies aimed at preventing risk factors, alleviating patients' clinical symptoms, and improving their quality of life. In recent years, with the continuous development of radiofrequency and ultrasound technologies, denervation treatment through interventional therapy has shown positive results in many CVDs as a new complementary treatment. The efficacy of renal denervation (RDN) in treating renal hypertension has been demonstrated in recent years. However, the efficacy and safety of denervation as a therapeutic modality in other CVDs have yet to be investigated. In addition, patients with end-stage cardiomyopathy usually need to seek cardiac transplantation, and the occurrence of denervation inevitably accompanies the implementation of cardiac

transplantation. Although renal denervation occurs in the later stages of the transplanted heart, the effect of pretransplantation denervation on the output of the transplanted heart and the mechanism of its occurrence has yet to be investigated.

### **1** Denervation

#### 1.1 Overview of denervation

Denervation is caused by injury, disease, or surgery, and can be the outcome of nerve damage. According to Seddon's classification, the three main types of nerve injury are nerve disuse, axonal dissection, and nerve rupture [2]. In addition, denervation may be caused by certain diseases. Patients with post-polio syndrome continue to experience denervation and reinnervation, with an increase in motor units compensating for the

denervation to a certain extent, and loss of muscle strength complicated by muscle atrophy following the loss of compensation. Finally, reinnervation is associated with surgical procedures. Denervation is used in treating hypertension and as an early clinical consequence after cardiac transplantation. Common types of denervation include autonomic denervation (loss of sympathetic innervation and loss of parasympathetic innervation), muscle denervation due to nerve compression, and the use of axonal microsurgery, neurotomy, and nerve blocks.

### 1.2 Cardiac denervation

Sympathetic and parasympathetic fibers of the autonomic nervous system innervate the intact heart. Most sympathetic fibers originate in the stellate ganglion and innervate the heart via the right and left cardiac nerves [3]. The scope of cardiac autonomic regulation is extensive, and denervation can have a dramatic effect on the autonomic function of the heart. Cardiac denervation can lead to loss of neural input to the sinus node, loss of efferent and afferent neural signals from inside and outside the heart, loss of sensory input to the ventricles, loss of presynaptic neuronal uptake and hypersensitivity to catecholamines. Thus, cardiac denervation can cause consequent effects on myocardial contractility, heart rate, and nocturnal blood pressure [4]. Ziegler et al. [5] found that sympathetic denervation of the pineal gland is a possible cause of physiological sleep-wake cycle decreased melatonin levels disruption and in cardiac patients. The denervation has been localized by single-cell and RNA sequencing to the superior cervical ganglion, which responds to cardiac disease by accumulating inflammatory macrophages, fibrosis, and selective loss of pineal innervation neurons. Depletion of macrophages in the superior cervical ganglion prevents pineal gland disinhibition associated with cardiac disease and restores physiologic melatonin secretion. This study identifies denervation as one of the mechanisms underlying circadian rhythm disturbances in cardiac disease. It suggests that cardiac disease can affect organs distal to the anatomical site through spatial integration of subpopulations of organ-specific neurons in the sympathetic ganglia. In addition to its effects on cardiac disease, the role of the sympathetic ganglion as a relay station between organs warrants further exploration for other diseases.

#### 1.3 RDN

The effects of RDN on the cardiovascular system are mediated by afferent and efferent nerves. Abundant afferent nerve projections in the renal pelvic wall to the paraventricular nucleus of the hypothalamus modulate sympathetic nerves to innervate the heart, kidneys, and small arteries, and efferent nerve activation induces renin secretion, regulates sodium absorption and renal vascular resistance, which in turn leads to increased blood pressure and fluid retention, which is disrupted with RDN [6]. In preclinical studies, a mouse model of RDN was made by wrapping bilateral renal arteries with 10% phenol for 15 min until the bilateral renal arteries turned white [7]. In clinical studies, RDN has been done by radiofrequency ablation and ultrasound, which reduces blood pressure by high-energy ablation of the renal artery lining, thereby inhibiting sympathetic nerves. Studies have shown that RDN has important effects on hypertension, atherosclerosis, arrhythmia, infarction, cardiac metabolism, cardiac circadian rhythm, and myocardial inflammatory response.

### 2. Denervation and CVD

# 2.1 Denervation and myocardial infarction / myocardial ischemia-reperfusion injury

Myocardial infarction is the most common cause of heart failure and a leading cause of mortality and morbidity worldwide. Transmural myocardial infarction not only leads to cardiomyocyte death, but also damages sympathetic nerve fibers passing through the infarcted area, resulting in local sympathetic denervation. Sympathetic nerves are more susceptible to ischemic injury than cardiomyocytes, and loss of sympathetic innervation is strongly associated with ventricular arrhythmias and sudden cardiac death. In an attempt to identify postinfarction myocardial loss of sympathetic innervation and potentially provide an alternative prognostic marker for the risk of sudden cardiac death, Kiss et al.[8] first demonstrated that cardiac loss of sympathetic innervation after myocardial infarction can be significantly improved by remote ischemic pre-adaptation, which may be associated with a significant reduction in the expression content of the chondroitin sulfate proteoglycan, a matrix component in the cardiac scar, and inflammation. Subsequently, Blake et al. [9] further found that loss of chondroitin sulfate proteoglycan sulfation in a mouse model delayed sympathetic reinnervation after cardiac ischemia-reperfusion. Li et al.[10] in 6-hydroxydopamineinduced cardiac loss of sympathetic innervation plays a vital role in attenuating myocardial ischemia-reperfusion injury through the lncRNA/CircRNA-SmRNA-mRNA network in the upper thoracic spinal cord. The effects of denervation on myocardial ischemia-reperfusion injury are also associated with inflammation. Wang et al. [11] found that RDN ameliorated oxidative stress, neurohormonal activation, adverse left ventricular remodeling, and intramyocardial inflammation in a large animal model with concomitant ischemia/reperfusion (I/R) injury. Huang et al. [12] found in a rabbit model of cardiomyopathy that RDN inhibited the renin-angiotensin-aldosterone system and inflammatory cytokine activity, thereby preventing cardiac remodeling. The specific mechanism by which denervation is involved in myocardial ischemia-reperfusion injury by modulating inflammatory pathways in the above findings may be that activation of the sympathetic nervous system promotes the recruitment and homing of inflammatory cells, especially neutrophils and macrophages.

Studies have shown that the denervation of organs and tissues other than the heart can likewise be involved in the developmental process of CVD. Sun et al. [13] explored the effects of RDN on immune cell mobilization after myocardial I/R injury in mice, discovered a novel link between sympathetic nervous system activity and inflammatory response during myocardial I/R injury, and determined that RDN counteracts myocardial I/R injury by preserving splenic immune cell mobilization. RDN pre-emptively blockade of renal sympathetic efferent and afferent nerves inhibits myeloid cell recruitment and infiltration and attenuates the inflammatory response in myocardial I/R-injured mice. In examining the role of activation of the ventral extrastriate subnucleus of the ventral hypothalamus in a rat model of myocardial infarction and its underlying mechanisms, Liu et al. [14] found that activation of the ventral hypothalamus neurons augmented cardiac sympathetic nervous system activity through the paraventricular nucleus and superior cervical ganglion. This activation led to increased catecholamine levels, which subsequently modulated myosin function and triggered the release of anti-inflammatory factors, leading to poor cardiac prognosis. In contrast, denervation of the superior cervical ganglion effectively blocked sympathetic effects and improved cardiac prognosis.

#### 2.2 Denervation and hypertension

Currently, the main treatment for renal hypertension is antihypertensive drugs, and due to poor adherence to medication and drug tolerance in some patients with refractory hypertension, catheter-based interventional therapy such as RDN, has become a new therapeutic measure. In the last decade, a number of studies have been conducted on the effectiveness and safety of RDN in lowering blood pressure. A single-blind, multicenter, sham-controlled, randomized clinical trial (the SYMPLICITY HTN-3 trial), which investigated the longterm outcomes of renal artery denervation in patients with single-electrode radiofrequency denervation, recruited 535 patients with recalcitrant hypertension at 88 centers in the United States. At 6-month follow-up, the RDN group met the primary safety endpoint but did not report an overall treatment benefit compared with the sham-operated group. However, after 36 months of follow-up the report not only demonstrated the safety of renal artery denervation at 36 months postoperatively, but also a greater decrease in blood pressure and better control of blood pressure from 12 to 36 months postoperatively in patients who received renal artery denervation compared with those who received sham control [15]. The large disparity in results obtained at 6- to 36-month follow-up may be attributable to the limitations imposed by the first-generation, singlestage ablation technique, which achieved effective circumferential denervation in only 6% of study subjects. Catheter-based RDN of the renal arteries has undergone significant technical and methodological improvements after the failed results of the SYMPLICITY-HTN 3 trial in 2014, and the SPYMPLICITY-HTN (SHAM) controlled randomized trial was conducted, which yielded promising results. The current 2023 European Society of hypertension (ESH) guidelines provide recommendations for catheter-based renal artery RDN for two main areas of application (1) patients with untreated hypertension, for whom renal artery denervation is a first-line treatment, and (2) patients with difficult-to-control or truly resistant hypertension [16]. When hypertension is combined with atrial fibrillation, RDN seems to be more effective in the treatment of hypertension. Zeijen et al [17] implemented radiofrequency RDN therapy in 20 patients suffering from hypertension combined with atrial fibrillation in an AFFORD study. They detected atrial fibrillation loads using implantable cardiac monitors and performed 24 h ambulatory blood pressure testing. After 3 years of followup, the application of RDN was found to reduce blood pressure in patients with hypertension and symptomatic atrial fibrillation, but no significant reduction in atrial fibrillation load was found at the 3-year follow-up.

Regarding how RDN reduces blood pressure and how RDN affects arterial function, it has been suggested that RDN affects vascular function by improving endothelial function, but Rommel et al. [18] noted that the effects of RDN appear to be independent of hemoglobin levels, which are thought to affect vascular function through endothelial mechanisms. Meanwhile, Kiuchi et al. [19] studied combined denervation of the renal and common hepatic arteries as a new approach to reduce cardiometabolic risk in a porcine model and assessed the feasibility and safety of the approach, and the animals included in the study were in good health during a 30- to 90-day follow-up period, with no stenosis or abnormalities of the vasculature and no significant changes in serum chemistry. Hyperactivation of the sympathetic nervous system is a crucial factor associated with cardiometabolic disorders [20].

### 2.3 Denervation and arrhythmia

In recent years, many studies have used denervation as an interventional therapy for arrhythmia treatment and evaluated its safety and efficacy. Vassallo et al. [21] applied high-power short-duration ablation combined with parasympathetic denervation to treat patients with atrial fibrillation and used the degree of increase in heart rate to determine the recurrence rate of atrial fibrillation. In the long-term follow-up, it was found that patients with a lower heart rate were prone to recurrence, while patients with a higher heart rate had a higher maintenance of sinus rhythm. Zheng et al. [22] found that after radiofrequency ablation of pulmonary artery denervation in dogs, the lowfrequency component of heart rate variability, serum norepinephrine, and angiotensin II levels were significantly reduced, and the refractory period of the right ventricular outflow tract was shortened. The number of premature ventricular beats and the number and duration of tachycardia episodes in the right ventricular outflow tract induced by left stellate ganglion stimulation were found to be significantly reduced. Thus, pulmonary artery denervation ameliorates the shortening of the ventricular

effective response period and ventricular arrhythmias in the right ventricular outflow tract induced by left stellate ganglion stimulation through inhibition of cardiac sympathetic nerve activity. However, denervation can also increase the incidence of arrhythmias by affecting circadian rhythms. Prado *et al.* [23] found that loss of melatonin circadian rhythms after supra carotid ganglionectomy in rats rendered the heart susceptible to arrhythmias, predominantly ventricular tachycardia, which was due to conduction disturbances and repolarization changes.

### 2.4 Denervation and heart failure

Many studies have suggested that denervation may be involved in treating heart failure, improving cardiac function, and slowing cardiac remodeling. Rommel et al. [18] found that the application of RDN to patients with heart failure with ejection fraction-preserved partially reversed the abnormalities of arterial function, observing reductions in BP and BP variability. The possible mechanism may be that the RDN intervention disrupts afferent and efferent sympathetic fibers along the renal arteries sympathetic fibers, thereby decreasing sympathetic tone. Pushpakumar et al. [24] found in a mouse model of heart failure that RDN contributes to the maintenance of ejection fraction by maintaining eNOS levels and endocardial endothelial function during heart failure, and that RDN intervention helps to decrease the activity of the renal sympathetic afferent-hypothalamicrenal sympathetic efferent neural circuitry system, which may contribute to the improvement of left cardiac function in patients prone to heart failure and cardiac death. Li et al. [25] found that RDN improved cardiac function in dogs with post-infarction heart failure in a Beagle model of postinfarction heart failure.RDN reduced levels of cytokines and other pro-inflammatory factors in myocardial tissue and the hypothalamus, which may affect cytokine-induced CNS excitability in heart failure, and subsequently sympathetic activity. Polhemus et al. [26] described an improved post-infarction cellular therapy alternative by combining treatment with pericardium-derived cells (CDCs) with RDN for the first time, and showed that CDCs improved early systolic function. In contrast, RDN maintained late function and prevented cardiac remodeling. The left ventricular ejection fraction was maintained at higher levels when both treatments were given concurrently than with either CDCs or RDN alone, and that combining these strategies may have a role in the treatment of post-infarction injury to attenuate cardiac remodeling and heart failure progression. Polhemus et al. [27] found in a rat model of spontaneous hypertensive heart failure that receiving bilateral radiofrequency RDN treatment resulted in reduced left ventricular fibrosis and improved vascular function compared with controls, suggesting that radiofrequency RDN treatment significantly improves endothelium-dependent responsiveness to and nonendothelium-independent vasodilators and vascular compliance in severe heart failure, increasing circulating natriuretic peptide levels and improving left ventricular

function in heart failure. Krim [28] found that autonomic modulation in heart failure patients with reduced ejection fraction appears to be safe in the short term, but long-term safety and efficacy are unproven.

### 2.5 Denervation and atherosclerosis

Atherosclerosis is a disease of the arterial vasculature characterized by the narrowing of the arterial lumen due to the accumulation of subendothelial lipids. Ischemic cardiac disease and stroke secondary to atherosclerosis are the two leading causes of death worldwide [29]. Arteries are innervated by nerves, especially the sympathetic nervous system, which is important in forming atherosclerosis. Sympathetic nerves innervate large arteries and small precapillary arterioles, and the integration of the efferent activity of the sympathetic nervous system with the vasculature occurs in the medulla oblongata of the brainstem, where the hypothalamus and cerebral cortex regulate its activity. The atherosclerotic cardiovascular disease manifests in the renal arteries as renal artery stenosis. Several studies have found that RDN inhibits atherosclerotic progression. Elevated blood pressure induces a proinflammatory response and promotes vascular remodeling and the progression of atherosclerosis and end-organ damage [30]. A small clinical study applied RDN treatment to patients with refractory hypertension and found that RDN had no adverse effects on renal artery structure at follow-up after 12 months and that control of blood pressure and improvement of vascular endothelial function after RDN may even inhibit the progression of atherosclerosis in the renal arteries [31]. Li et al. [32] performed RDN treatment in high-fat-fed ApoE knockout mice and found that RDN inhibited renal arterial progression by decreasing mitochondrial monoamine oxidase A activity, maintaining mitochondrial homeostasis, and reducing ROS accumulation and NF- K B activation, thereby decreasing the expression of atherogenic and proinflammatory molecules in endothelial cells. The possible mechanism is that the action of RDN on mitochondrial monoamine oxidase A disrupts the positive feedback regulation between mitochondrial dysfunction and inflammation, thereby inhibiting the alteration of the atherosclerotic phenotype of endothelial cells and the development of atherosclerosis. Chen et al. [33] found that in ApoE knockout mice, RDN inhibited the increase of atherosclerotic plaque size and ameliorated inflammation in the plaques, reduced the accumulation of circulating neutrophils and monocytes, and the production of splenic neutrophils. Monocytes and splenic sympathetic nerve activity suggest that RDN could treat atherosclerosis as a potential anti-inflammatory treatment by limiting the production of splenic immune cells. Some studies have found that the effects of RDN on atherosclerosis may not be related to hypertension. Wang et al. [34] found that RDN attenuated the progression of atherosclerosis in the mice compared with the sham-operated group when RDN treatment of ApoE-deficient mice, which may be related to reduced aldosterone levels, monocyte chemotactic protein-

1, and markers of oxidative stress.

However, several studies have found that RDN can increase the risk of atherosclerosis. Chen et al. [35] induced arterial pressure reflex dysfunction promoting the development of atherosclerosis by upstream loss of sinus aortic innervation in Apoe knockout mice decreased the expression of VAChT and a7nAChR and significantly increased the level of oxidative stress and inflammation. Su et al.[36] found that RDN resulted in the intima of vascular smooth muscle cells significantly thickened and significantly promoted endothelin B receptor production, significantly inhibited the expression of AMPK/Akt/eNOS signaling pathway proteins, decreased NO production, and increased the expression of endothelin system proteins, such as endothelin-1, endothelin-converting enzyme 1, endothelin A receptor, and ETBR, up-regulated the expression of NOX2 and 4-HNE proteins, and enhanced NF-kB activation, which resulted in aggravation of the endothelial endocrine function of minor endothelial endocrine dysfunction, intimal thickening, and increased risk of atherosclerosis in porcine renal arteries. Wang et al. [37] RDN significantly increased the level of matrix metalloproteinase-2 expression in angiotensin II-injected hypertensive ApoE-deficient mice, promoting atherosclerosis formation.

#### 3. Denervation and cardiac transplantation

Cardiac transplantation is the most effective treatment for end-stage heart failure. A series of physiologic changes occur over a period after cardiac transplantation, including hemodynamic changes, changes in cardiac rhythm, and changes in responses to exercise. Cardiac transplantation results in axonal transection of the postganglionic nerve innervating the heart, and axonal degeneration occurs within a few days after transplantation, resulting in complete depletion of the cardiac norepinephrine reserve, the disappearance of nerve endings in the transplanted tissue, and complete loss of cardiac innervation [38]. The heart is usually in a state of complete denervation for 6 to 12 months after cardiac transplantation, and recovery of cardiac reinnervation is usually found in the second year after transplantation [38]. Autonomic denervation of the transplanted heart results in many changes, such as increased resting heart rate, decreased heart rate variability, abnormal time-varying responses to exercise, and excessive bradycardic responses following adenosine administration [39]. Cardiac denervation and subsequent loss of sympathetic and parasympathetic regulation are responsible for various physiologic changes in the cardiovascular system and limit exercise tolerance in patients. Restoration of cardiac innervation improves exercise capacity and quality of life, but the reinnervation process is only partially restored even several years after cardiac transplantation [40]. Clinical findings suggest an elevated resting heart rate of 90-110 beats/min due to loss of parasympathetic innervation of the donor heart after transplantation, representing the inherent depolarization rate of the sinus node [39]. Under a graded exercise test, heart rate after cardiac transplantation usually does not increase or has a delayed increase for the first few minutes, followed by a gradual increase due to loss of sympathetic innervation of the sympathetic nervous system, with a peak slightly below normal (averaging about 150 beats/min) [41]. A study reported that a patient who underwent sequential heart and kidney transplantation and suffered from refractory hypertension was treated with autologous renal artery RDN after a combination of six antihypertensive medications failed to work and experienced a significant decrease in systolic and diastolic blood pressure, suggesting that RDN can be an effective complementary treatment for lowering blood pressure in patients who have undergone heart and kidney transplantation, and can reduce cardiovascular risk[42].

In summary, denervation plays an important role in CVDs such as myocardial infarction, hypertension, arrhythmia, atherosclerosis, and heart failure. However, it is currently understudied in other CVDs such as diabetic cardiomyopathy, dilated cardiomyopathy, hypertrophic cardiomyopathy, and myocarditis, which are of significant research interest. Denervation is clinically crucial in various CVDs, both as a therapeutic tool and as a clinical manifestation after cardiac transplantation. Currently, the hottest study is the intervention of RDN in refractory hypertension. However, the mechanism of other types of denervation in various CVDs is still unclear, especially the effect of RDN on atherosclerosis is controversial and deserves further exploration. The role of denervation may become a potential research direction in myocardial ischemia-reperfusion injury, circadian rhythm of clock genes, and cardiac transplantation.

#### **Conflict of interest** None

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・学术前沿・

# 失神经支配在心血管疾病中的研究进展

徐恒, 李文远, 夏中元 武汉大学人民医院麻醉科,湖北 武汉 430060



夏中元教授,博士,主任医师,博士生导师,现任武汉大学人民医院麻醉科主任。湖 北省医学领军人才,湖北省医学会麻醉学分会第八届主任委员,武汉市医学会麻 醉学分会第十届主任委员,湖北省医师协会麻醉学分会候任主委,教育部高等学 校临床医学教学指导委员会麻醉学分会副主委,中国医师协会麻醉学分会委员会 常委,中国心胸血管麻醉学会委员会常委,中华医学会麻醉学分会委员会委员、心 胸学组副组长,中国医药教育协会麻醉专委会副主委。主要从事围术期重要脏器 保护及糖尿病心肌缺血再灌注损伤的机制及防治研究。主持国家自然科学基金 6项,湖北省重点研发1项,科技部重大专项子课题1项;以第一作者、通信作者 发表论文 300余篇,SCI论文 80余篇,出版专著10部,获批专利10项;获湖北省 科技进步二等奖2项,科技成果推广奖二等奖1项。

摘要:失神经支配与心肌梗死、心肌缺血再灌注损伤、高血压、心律失常、心力衰竭、动脉粥样硬化以及心室重塑 等多种心血管疾病密切相关。失神经支配在心脏移植早期影响患者的静息心率和对运动的反应性。因此,本文 就失神经支配在心血管疾病领域的研究进展进行综述,阐述失神经支配在治疗心血管疾病中的作用机制,以期 为心血管疾病治疗提供新的补充治疗手段。

关键词: 失神经支配; 心血管疾病; 肾脏失神经支配; 心脏移植; 高血压 中图分类号: R541 文献标识码: A 文章编号: 1674-8182(2024)08-1154-06

### Research progress on denervation in cardiovascular diseases

XU Heng, LI Wenyuan, XIA Zhongyuan

Department of Anesthesiology, Renmin Hospital of Wuhan University, Wuhan, Hubei 430060, China

Corresponding author: XIA Zhongyuan, E-mail: xiazhongyuan2005@ aliyun.com

**Abstract**: Denervation is closely related to many cardiovascular diseases, such as myocardial infarction, myocardial ischemia-reperfusion injury, hypertension, arrhythmia, heart failure, atherosclerosis and ventricular remodeling. Denervation affects the patient's resting heart rate and responsiveness to exercise in the early stages of heart transplantation. Therefore, this article reviews the research progress of denervation in the field of cardiovascular diseases and elaborates on the mechanism of denervation in the treatment of cardiovascular disease, intending to provide new complementary treatment methods for the treatment of cardiovascular diseases.

Keywords: Denervation; Cardiovascular diseases; Renal denervation; Heart transplantation; Hypertension Fund program: National Natural Science Foundation of China (82172160, 82100894)

心血管疾病(cardiovascular diseases, CVD)是全 球死亡和致残的重要因素,也是造成世界疾病负担的

主要原因。数据显示,多数国家在持续数十年内 CVD负担均呈上升趋势<sup>[1]</sup>。CVD的防治方式主要包



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通信作者:夏中元, E-mail: xiazhongyuan2005@ aliyun.com 出版日期: 2024-08-20

括预防危险因素、减轻患者临床症状和改善患者生活 质量为目标的药物和手术治疗。近年来随着射频和 超声技术的不断发展,通过介入治疗实现的失神经支 配作为一种新型补充治疗手段在许多 CVD 中显示出 积极的治疗效果。近年来肾脏失神经支配(renal denervation, RDN)在肾性高血压治疗中的有效性得 到验证,但失神经支配作为治疗方式在其他 CVD 中 的有效性和安全性尚待研究。此外心肌病终末期患 者通常需要寻求心脏移植治疗,心脏移植的实施必然 伴随着失神经支配的发生,尽管后期移植心脏会出现 再神经支配,但在移植后前期失神经支配对移植心脏 产生的影响和其发生机制也有待研究。

#### 1 失神经支配

1.1 失神经支配概述 失神经支配又可称为去神经 支配,是由损伤、疾病或者外科手术引起。首先,失神 经支配可能是神经损伤的结果。根据 Seddon 的分 类,神经损伤的三种主要类型有神经失用、轴索断裂 和神经断裂<sup>[2]</sup>。其次,失神经支配可能由某些疾病 造成。脊髓灰质炎后综合征患者持续经历失神经支 配与再神经支配的过程,运动单元的增加在一定范围 内代偿失神经支配,失代偿后会出现肌肉萎缩并发肌 肉力量的丧失。最后,失神经支配与外科手术有关。 失神经支配应用于去肾交感神经术治疗高血压和作 为心脏移植后早期临床后果。常见的失神经支配有 失自主神经支配(失交感神经支配和失副交感神经 支配)、神经挤压所致肌肉失神经支配以及应用轴索 显微外科术、神经根切断术和神经阻滞等。

1.2 心脏失神经支配 完整的心脏由自主神经系统 的交感神经和副交感神经纤维支配。大多数交感神 经纤维起源于星状神经节并通过左右心脏神经支配 心脏<sup>[3]</sup>。心脏自主神经调节的范围十分广泛,一旦 出现失神经支配,将对心脏的自主调节功能产生巨大 的影响。心脏失神经支配可导致窦房结的神经输入 消失、心脏内外的传出和传入神经信号丢失、心室感 觉输入丧失,还可导致突触前神经元摄取机制的丧失 和对儿茶酚胺的超敏感性,最终对心肌收缩力、心率、 夜间血压等产生影响<sup>[4]</sup>。Ziegler等<sup>[5]</sup>研究发现松果 体的交感神经失神经是心脏病患者可能伴随着生理 性睡眠-觉醒周期紊乱与下降的褪黑素水平出现的根 本原因,经过单细胞和 RNA 测序等手段将此失神经 定位到颈上神经节,该神经节对心脏病的反应是炎症 巨噬细胞的积聚、纤维化和松果体神经支配神经元的 选择性丧失。耗竭颈上神经节中的巨噬细胞可阻止 与心脏病相关的松果体失神经支配,并恢复生理性褪 黑素分泌。这项研究证实失神经支配是心脏病昼夜 节律紊乱的机制之一,并提示心脏病可通过交感神经 节中器官特异性神经元亚群的空间整合,影响解剖学 部位远端的器官。除了对心脏病的影响外,交感神经 节作为器官之间的中继站的作用值得对其他疾病实 体进行进一步探索。

1.3 RDN RDN 对心血管系统的影响由传入神经和 传出神经介导。在肾盂壁上丰富的传入神经投射到 下丘脑室旁核调节交感神经以支配心脏、肾脏和小动 脉,传出神经激活诱导肾素分泌、调节钠吸收和肾血 管阻力,进而导致血压升高和液体潴留,这一切随着 RDN 而中断<sup>[6]</sup>。临床前研究采取 10%苯酚包裹双侧 肾动脉 15 min 至双侧肾动脉变白制作小鼠 RDN 模 型<sup>[7]</sup>。在临床研究中,RDN 的方法有射频消融、超声 等,通过高能消融肾动脉内膜,从而抑制交感神经而 降低血压。研究表明,RDN 对高血压、动脉粥样硬 化、心律失常、心梗、心脏代谢、心脏病昼夜节律和心 肌炎症反应有重要影响。

#### 2 失神经支配与 CVD

2.1 失神经支配与心肌梗死/心肌缺血再灌注损 伤 心肌梗死是心力衰竭(心衰)最常见的原因,也 是世界范围内死亡的主要原因。跨壁心肌梗死不仅 导致心肌细胞死亡,还会损害经过梗死区的交感神经 纤维,导致局部交感神经失神经支配。交感神经比心 肌细胞更容易受到缺血性损伤,失交感神经支配与室 性心律失常和突发性心脏病紧密相关。为了识别心 梗后心肌失交感神经支配,并为心源性猝死的风险提 供替代预后的可能标志,Kiss 等<sup>[8]</sup>首次证明了心肌梗 死后的心脏失交感神经支配可由远程缺血预适应显 著改善,这可能与心脏瘢痕中的基质成分硫酸软骨素 蛋白多糖表达和炎症因子的显著减少有关。随后 Blake 等<sup>[9]</sup>进一步发现在小鼠模型中的硫酸软骨素 蛋白多糖硫酸化缺失可使心脏缺血再灌注后的交感 神经再支配延迟。Li 等<sup>[10]</sup>在 6-羟基多巴胺诱导的心 失交感神经通过上胸段脊髓中的 lncRNA/circRNAsmiRNA-mRNA 网络对减轻心肌缺血再灌注损伤发挥 了重要作用。失神经支配对心肌缺血再灌注损伤的 影响也与炎症相关。Wang 等<sup>[11]</sup>研究发现在伴有心 肌梗死/心肌缺血再灌注损伤的大型动物模型中, RDN 可以改善氧化应激、神经激素激活、不良的左心 室重塑和心肌内炎症。Huang 等<sup>[12]</sup>在心肌病兔模型 中发现 RDN 可以抑制肾素-血管紧张素-醛固酮系统

和炎症细胞因子的活性,从而预防心脏重塑。上述研 究结果中失神经支配通过调控炎症通路进而参与心 肌缺血再灌注损伤的的具体机制可能是交感神经系 统的激活促进了炎症细胞的募集和归巢,尤其是中性 粒细胞和巨噬细胞。

研究显示,除心脏外的器官组织失神经支配同样 可参与 CVD 的发展进程。Sun 等<sup>[13]</sup> 探讨了 RDN 对 小鼠心肌缺血再灌注损伤后免疫细胞动员的影响,发 现了心肌缺血再灌注损伤时交感神经系统活性与炎 症反应之间的新联系,并确定 RDN 是通过保留脾脏 免疫细胞动员来对抗心肌缺血再灌注伤害。RDN 预 先阻断肾交感传出和传入神经可抑制骨髓细胞的募 集和浸润,减轻心肌缺血再灌注损伤小鼠的炎症反 应。Liu 等<sup>[14]</sup>在研究腹内侧下丘脑的腹外侧亚核激 活在心肌梗死大鼠模型中的作用及其潜在机制中发 现,腹内侧下丘脑神经元的激活通过室旁核和颈上神 经节增强了心脏交感神经系统的活性。这种激活导 致儿茶酚胺水平升高,随后调节肌球蛋白功能并触发 抗炎因子的释放,导致心脏预后变差,而颈上神经节 失神经支配可有效阻断交感神经的作用,改善心脏 预后。

2.2 失神经支配与高血压 目前肾性高血压的主要 治疗手段为服用抗高血压药物。由于患者服用药物 的依从性不佳以及有些顽固性高血压患者对药物耐 受等,基于导管的 RDN 介入治疗成为新的治疗措施。 近十年不少研究围绕 RDN 的降压有效性、安全性进 行临床试验。一项单盲、多中心、假对照、随机临床试 验(SYMPLICITY HTN-3 试验)研究单电极射频 RDN 治疗的长期结果,该试验在美国 88 个中心招募了 535 例顽固性高血压患者,6个月随访后,与假手术组 相比,RDN 组虽然达到主要安全终点,但未报告总体 治疗益处;但经过36个月的随访后,报告不仅证明了 RDN 术后 36 个月的安全性, 而且术后 12~36 个月, 与接受假对照的患者相比,接受 RDN 的患者血压下 降幅度更大,血压控制更好<sup>[15]</sup>。6~36个月随访得出 的结果有较大差距,这可能归因于受第一代单级消融 技术的限制,只有6%的受试者实现了有效的环向失 神经支配。基于导管的肾动脉 RDN 在 2014 年 SYM-PLICITY-HTN 3 试验结果失败后,经过技术和方法的 改进,开展了重要的假手术对照随机试验 SPYRAC HTN-ON MED,取得了理想的结果。目前 2023 年欧 洲高血压学会高血压管理指南为基于导管的肾动脉 RDN 提供了建议,主要应用于两个领域:(1)仍未治 疗的高血压患者, RDN 是一种一线治疗方法;(2) 难

以控制或真正具有抵抗力的高血压患者<sup>[16]</sup>。当高血 压合并心房颤动(房颤)时,RDN 似乎对高血压的治 疗效果更好。Zeijen 等<sup>[17]</sup>在一项 AFFORD 研究中对 20 例患有高血压合并房颤的患者实施射频 RDN 治 疗,使用植入式心脏监测仪检测房颤负荷并进行 24 h 动态血压检测,经过 3 年的随访发现,在高血压和症 状性房颤患者中,应用 RDN 降低了血压,但 3 年随访 未发现有显著减轻房颤负荷。

关于 RDN 如何降低血压以及 RDN 如何影响动脉功能,有学者认为 RDN 通过改善内皮功能影响血管功能。但 Rommel 等<sup>[18]</sup>指出 RDN 的作用似乎不受血红蛋白水平的影响,而血红蛋白水平被认为通过内皮机制影响血管功能。同时,Kiuchi 等<sup>[19]</sup>研究在猪模型上将肾动脉和肝总动脉联合失神经作为降低心脏代谢风险的新方法,并评估该方法的可行性和安全性,纳入研究的动物在 30~90 d 的随访期间健康状况良好,未发现血管有狭窄或异常,血清化学成分无明显变化。交感神经系统的过度激活已被证明是心脏代谢紊乱的关键因素<sup>[20]</sup>。

2.3 失神经支配与心律失常 近年来许多研究将失 神经支配作为一种介入治疗手段用于治疗心律失常, 并对其安全性和有效性进行评估。Vassallo 等<sup>[21]</sup>应 用高功率短时程消融术联合副交感失神经支配治疗 房颤患者,并且以心率增加的程度来判断房颤复发 率,在长期随访中发现心率增加较低的患者容易复 发,而心率增加较高的患者窦性心律维持率较高。 Zheng 等<sup>[22]</sup>研究发现对犬采取射频消融肺动脉失神 经后心率变异性低频成分、血清去甲肾上腺素和血管 紧张素 Ⅱ水平显著降低,并且减轻左侧星状神经节刺 激引起的收缩压升高,右心室流出道心室有效不应期 缩短,发现右心室流出道室性早搏次数以及左侧星状 神经节刺激引起的右心室流出道心动过速发作次数 和持续时间显著减少,因此肺动脉失神经支配通过抑 制心交感神经活动,改善了左侧星状神经节刺激引起 的右心室流出道心室有效不应期缩短和室性心律失 常。然而失神经支配也可通过影响昼夜节律从而增 加心律失常的发生率。Prado 等<sup>[23]</sup>发现大鼠颈上神 经节切除术后褪黑激素昼夜节律的丧失易导致心律 失常,主要是室性心动过速,这是由于传导障碍和复 极变化所致。

2.4 失神经支配与心衰 许多研究表明,失神经支 配可能参与治疗心衰并改善心功能,减缓心脏重塑。 Rommel 等<sup>[18]</sup>发现在射血分数保留型心衰患者中应 用 RDN 可以部分逆转动脉功能的异常,观察到血压 和血压变异性的降低,可能机制是 RDN 干预会破坏 肾动脉沿线的传入和传出交感纤维,从而降低交感神 经张力。Pushpakumar 等<sup>[24]</sup>在小鼠心衰模型中发现 RDN 通过在心衰期间保持内皮型一氧化氮合酶 (eNOS)水平和心内膜内皮功能以维持射血分数, RDN 干预有助于降低肾交感传入神经-下丘脑-肾交 感传出神经回路系统的活性,这可能有助于改善易发 生心衰和心脏病死亡患者的左心功能。Li 等<sup>[25]</sup>在犬 心梗后心衰模型中发现 RDN 可以改善心梗后心衰犬 的心功能,降低心肌组织和下丘脑中细胞因子和其他 促炎因子的水平,可能影响细胞因子诱导的心衰中枢 神经兴奋,随后影响交感神经活动。Polhemus 等<sup>[26]</sup> 介绍了一种改善心梗后细胞治疗的替代方法,首次将 心包膜衍生细胞(CDCs)治疗与 RDN 结合,结果表 明,CDCs改善早期收缩功能,而RDN维持晚期功能 和防止心脏重塑,当同时给予两种治疗时,左室射血 分数维持在比单独使用 CDCs 或 RDN 更高的水平, 结合这些策略可能在治疗梗死后损伤以减轻心脏重 塑和心衰进展方面具有治疗潜力。Polhemus 等<sup>[27]</sup>在 自发性高血压心衰大鼠模型中发现,与对照组相比, 接受双侧射频 RDN 治疗后左心室纤维化程度减少, 血管功能改善,说明射频 RDN 治疗能显著改善对内 皮依赖性和非内皮依赖性血管舒张剂的反应性和在 严重心衰下的血管顺应性,提高循环中钠尿肽水平, 改善心衰下的左心室功能。Krim<sup>[28]</sup>发现自主神经调 控在射血分数降低的心衰患者的治疗在短期内似乎 是安全的,但长期安全性和有效性未得到验证。

2.5 失神经支配与动脉粥样硬化 动脉粥样硬化是 一种动脉血管疾病,其特征是内皮下脂质积聚导致的 动脉管腔变窄。动脉粥样硬化继发的缺血性心脏病 和脑卒中是全球死亡的两个主要原因<sup>[29]</sup>。动脉受神 经支配,尤其是交感神经系统对动脉粥样硬化的形成 十分重要。大动脉和毛细血管前小动脉由交感神经 支配,交感神经系统的传出活动与血管的整合发生在 脑干的延髓,其活动受下丘脑和大脑皮层的调节。动 脉粥样硬化性心血管疾病在肾动脉的表现为肾动脉 狭窄。一些研究发现 RDN 可抑制动脉粥样硬化进 展。血压升高会诱导促炎反应,并促进血管重塑以及 动脉粥样硬化和末端器官损伤的进展<sup>[30]</sup>。一项小型 临床研究对顽固性高血压患者应用 RDN 治疗,在12 个月后的随访中发现 RDN 对肾动脉结构没有不良影 响,而且 RDN 后血压的控制和血管内皮功能的改善 甚至可能抑制肾动脉粥样硬化的进展<sup>[31]</sup>。Li 等<sup>[32]</sup> 在高脂饲养的载脂蛋白 E(ApoE) 敲除小鼠进行 RDN

治疗,发现 RDN 通过降低线粒体单胺氧化酶 A 的活 性,维持线粒体稳态,减少活性氧(ROS)积累和核因 子(NF)-κB激活,从而减少内皮细胞中致动脉粥样 硬化和促炎分子的表达。其可能机制是 RDN 对线粒 体单胺氧化酶A的作用破坏了线粒体功能障碍和炎 症之间的正反馈调节,从而抑制内皮细胞动脉粥样硬 化表型的改变和动脉粥样硬化的发展。Chen 等<sup>[33]</sup> 发现在 ApoE 敲除小鼠中 RDN 可抑制动脉粥样硬化 斑块大小的增加并改善斑块中的炎症,减少了循环中 性粒细胞和单核细胞的积累,降低了脾脏中性粒细胞 和单核细胞的产生和比例及脾脏交感神经活性,表明 RDN 可通过限制脾脏免疫细胞的产生来治疗动脉粥 样硬化作为一种潜在抗炎治疗措施。有研究发现 RDN 对动脉粥样硬化的影响可能与高血压无关。 Wang 等<sup>[34]</sup>对 ApoE 缺陷但血压正常小鼠行 RDN 治 疗发现,与假手术组相比,RDN 可缓解小鼠的动脉粥 样硬化进展,可能与降低的醛固酮水平、单核细胞趋 化蛋白-1和氧化应激标志物有关。

然而,一些研究发现 RDN 可提高动脉粥样硬化 的风险。Chen 等<sup>[35]</sup>在 ApoE 敲除小鼠中行失窦主动 脉神经支配诱导动脉压力反射功能障碍促进动脉粥 样硬化的发展,同时降低囊泡乙酰胆碱转运体 (VAChT)和  $\alpha$ 7 烟碱型乙酰胆碱受体( $\alpha$ 7nAChRs)的 表达,并显著增加氧化应激和炎症水平。Su 等<sup>[36]</sup>发 现 RDN 导致血管平滑肌细胞内膜明显增厚,并显著 促进内皮素 B 受体的产生,显著抑制 AMPK/Akt/ eNOS 信号通路蛋白的表达,降低 NO 的产生,并增加 内皮素-1、内皮素转换酶1、内皮素A 受体和内皮素B 受体等内皮素系统蛋白的表达,上调 NADPH 氧化酶 2 (NOX2)和 4-羟基壬烯酸(4-HNE)修饰蛋白的表 达,增强 NF-κB 的活化,结果加重小型猪肾动脉内皮 分泌功能障碍,内膜增厚,并增加了动脉粥样硬化的 风险。Wang 等<sup>[37]</sup>发现 RDN 显著增加了血管紧张素 Ⅱ注射的高血压 ApoE 缺陷小鼠的基质金属蛋白酶-2 表达水平,促进动脉粥样硬化形成。

#### 3 失神经支配与心脏移植

心脏移植是治疗终末期心脏功能衰竭最有效的 方法。心脏移植后的一定时间内会发生一系列的生 理变化,包括血流动力学变化、心脏节律变化以及对 运动的反应性变化等。心脏移植导致支配心脏的节 后神经轴突横断,移植后几天内发生轴突变性,导致 心脏去甲肾上腺素储备完全耗尽,移植组织中神经末 梢消失,心脏完全失神经支配<sup>[38]</sup>。一般在心脏移植 后的6~12个月内,心脏处于完全失神经支配的状 态,而心脏再神经支配的恢复通常在移植后第2年发 生<sup>[38]</sup>。移植心脏的自主失神经支配导致许多变化, 例如静息心率增加、心率变异性降低以及腺苷给药后 的过度心动过缓反应<sup>[39]</sup>。心脏失神经支配和随后交 感神经和副交感神经调节的丧失是心血管系统各种 生理变化的原因,也限制了患者运动耐受性。心脏神 经支配的恢复可以提高运动能力和生活质量,但即使 在心脏移植后几年,神经再支配过程也只是部分恢 复<sup>[40]</sup>。临床研究结果提示,由于移植后供体心脏的 副交感神经支配丧失,静息时的心率升高为90~110 次/min,代表了窦房结固有的去极化速率<sup>[39]</sup>。在分 级运动试验下,心脏移植后心率通常在最初几分钟内 不会增加或延迟增加,随后由于交感神经系统失神经 支配,心率逐渐增加,峰值略低于正常值(平均约150 次/min)<sup>[41]</sup>。一项研究报告了1例先后接受心脏和 肾脏移植并患上难治性高血压的患者,在复合使用六 种降压药无效后应用了自体肾动脉 RDN 治疗,收缩 压和舒张压显著下降,说明 RDN 可成为接受心肾移 植患者降压的有效补充治疗方式,可以降低心血管 风险<sup>[42]</sup>。

综上所述,失神经支配在心肌梗死、高血压、心律 失常、动脉粥样硬化和心衰等 CVD 中发挥重要作用, 但目前在其他 CVD 如糖尿病心肌病、扩张型心肌病、 肥厚型心肌病和心肌炎中的研究较少,具有重要的研 究意义。失神经支配在各种 CVD 中不论是作为一种 治疗手段还是心脏移植后的临床表现都具有重要的 临床价值。目前研究最热的为 RDN 对难治性高血压 的介入治疗,但是其他类型的失神经支配对于各种 CVD 的作用机制还尚不清楚,尤其 RDN 对动脉粥样 硬化的影响目前也存在争议,值得进一步探索。失神 经支配在心肌缺血再灌注损伤中的作用、在时钟基因 昼夜节律中的作用以及在心脏移植中的作用可能成 为潜在的研究方向。

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